



Background Report

Summary

Candidate Information

| | |
|---------------------------|---|
| Full Name | Ms Toni Maurice Blurr |
| Date of Birth | 18 Nov 1959 |
| Address | 35, Preville Court, 2 Naplin Road, London, E9 8TD, United Kingdom |
| National Insurance Number | PY852413D |

Report Information

| | |
|---------------------|--------------------|
| Report Prepared For | RiskReduct Limited |
| Reference | 7 |
| Date Submitted | 16 November 2023 |
| Date Completed | 17 November 2023 |

Important – Please Read

RiskREDUCT uses its best efforts to ensure that the information presented in this report accurately reflects the truth at time of the report creation. We cannot be responsible for the accuracy or completeness of the information contained in this report, and assumes responsibility limited to accurately reporting the information as it appears from third party sources or is provided by a public office or agency from which the information was requested. By using the RiskReduct service you acknowledge your acceptance of these risks All findings contained within this report should not be a sole determining factor for making decisions with the above agencies.

Background Checks Included Within This Report:

| Plan 1 | Code | Completed | Status |
|--|------|-----------|---|
| 1 FCA Fitness and Propriety Assessment | FITP | Yes |  |

1 Convictions or Civil Liabilities

(1) Have you ever had assets confiscated?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(2) Are you currently party to any civil proceedings, criminal or administrative for any fraud, negligence or other misconducts?

Yes

No

If ticked 'No', please give details.

(3) Have you ever been cautioned, apprehended or charged with any criminal offence?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(4) Have you ever been convicted of any criminal offence in the United Kingdom or abroad?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(5) Have you ever been investigated for any criminal proceedings, whether in the United Kingdom or abroad?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(6) Are you aware of any proceedings, or any entity's intention to start more than one set of proceedings, that may lead to a County Court Judgement or bad debt?

- Yes
 No

If ticked 'No', please give details.

(7) Do you have any outstanding financial obligations arising from regulated activities in the United Kingdom or abroad?

- Yes
 No

If ticked 'Yes', please give details.

(8) Have you ever been declared bankrupt in the past?

- Yes
 No

If ticked 'Yes', please give details.

(9) Have you ever failed to satisfy any debts (including CCJs) made under a court order still outstanding, whether in full or part?

- Yes
 No

If ticked 'No', please give details.

(10) Have you ever filed for bankruptcy, subject of a restrictions order or had a bankruptcy summons served on you?

- Yes
 No

If ticked 'Yes', please give details.

(11) Have you ever made any deed arrangements with any financial institution or an individual voluntary arrangement in the United Kingdom or abroad?

- Yes
 No

If ticked 'Yes', please give details.

(12) Have you ever received any Debt Relief Orders, Trust Deeds, County Court Judgements or Individual Voluntary Arrangements against you?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(13) Do you have the experience and training that they are suitable to perform this role?

- Yes
- No

If ticked 'No', please give details.

(14) Do you possess the adequate time to perform the function in question and meet the responsibilities associated with the role?

- Yes
- No

If ticked 'No', please give details.

(15) Have you ever been asked to attend extra work training as part of skill and capability scheme?

- Yes
- No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(16) Have you satisfied any applicable training and competence requirements in relation to the function that you are going to perform?

- Yes
- No

If ticked 'No', please give details.

(17) Are you intending to join, or are currently in substantive negotiations with a view to joining, any company?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(18) Do you have any complaints made against you by your employers, trades, clients or former clients in the last ten years which you have accepted, or which are awaiting determination, or have been defended by an ombudsman or complaints scheme?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(19) Do you have any shares, connection or interests in other financial services company?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(20) Has any organisation at which you hold or have held a position of influence ever been convicted of any criminal offence, summoned, charged, investigated or subject to a search warrant?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(21) Have you ever been disqualified from acting as a director, secretary or similar position in the United Kingdom or abroad?

- Yes
 No

If ticked 'No', please give details.

(22) Have you ever been informed of any possible proceedings of a disciplinary action against you in the United Kingdom or abroad?

- Yes
 No

If ticked 'No', please give details.

(23) Have you ever been investigated or been the subject of a police search pursuant to any investigation?

- Yes
- No

If ticked 'No', please give details.

(24) Have you ever been sacked or asked to leave by an employer or if self-employed had your contract terminated?

- Yes
- No

If ticked 'No', please give details.

(25) Have you ever been sacked, suspended or asked to resign from any professional job or employment or from any position whether paid or not?

- Yes
- No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(26) Have you ever been suspended or had your professional pin suspended by an employer or a professional body?

- Yes
- No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(27) Have you ever been the subject of any investigation into accusation of misconduct or malpractice in connection with any business or professional body?

- Yes
- No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(28) Have you ever been the subject of any proceedings, investigation which has led to disciplinary proceedings?

- Yes
- No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(29) Have you ever decided after making an application for any licence or membership or any permission granted by any such body, not to proceed with it?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(30) Have you ever faced any disciplinary action, warned, fined or expelled by any regulator like the FCA, PRA or any other authorised regulatory body in the United Kingdom or abroad?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(31) Have you ever resigned from any job whilst under investigation or suspension?

Yes

No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(32) Are you aware of any other material or evidence that is relevant to this application that we might sensibly expect from you?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(33) Have you been found to have performed a controlled function (or an equivalent function requiring approval by the FCA/PRA or any other regulatory body) without appropriate permission)?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(34) Have you been the subject of any legal action related to any regulated activity which has resulted in you being acquitted or fined?

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

(35) Have you ever been found to have failed to comply with an obligation under any UK Regulation? This includes the FCA/Prudential regime.

- Yes
 No

If ticked 'Yes', please give details.

I reserve my comment because this is just a sample

Response provided by the candidate should be reviewed

Response provided by the candidate can be ignored